

**ILLINOIS STATE UNIVERSITY
DEEMED EXPORT CONTROL QUESTIONNAIRE**

TO BE FILLED OUT BY DEPARTMENT

A new requirement in the immigration process is to determine whether or not an Export Control license is necessary for certain categories of faculty hires if they are considered a “foreign national.” The University cannot complete the immigration application until this issue is fully vetted by the Chair of the Department, the Export Control Officer, and Legal Counsel. It is important to note that any future changes to employment responsibilities or research must also be reviewed.

Faculty Hire’s Name: _____
Citizenship: _____
School/ Department: _____
Job Title: _____
Research Specialty: _____
Please list Position _____
Duties/Projects: _____

The following questions must be completed by the person with the most knowledge of

1. Is the individual from a country that is on the Embargoed or Sanctioned Country List (including North Korea, Syria, Sudan, Iran, Iraq, Cuba, Balkans, Belarus, Burma, Cote d’Ivoire, Democratic Republic of the Congo, and any other nations or countries not specifically listed). Please note the list of embargoed and sanctioned countries changes from time to time. You can consult the [Department of Treasury Office of Foreign Asset Control website](#) for the most current available information on US sanctioned or embargoed countries.
 YES NO

2. Will the scholar have access to any proprietary or confidential information derived from a third party, such as a corporate sponsor that cannot be shared? Access would include verbal discussions, training, access to shared drives or websites, access to laboratories and equipment. See Attachment A for categories.
 YES NO

3. Has the faculty member signed a non-disclosure agreement or any agreement that restricts publication in any way?
 YES NO

4. Will the scholar be working on corporate sponsored research?
 YES NO

5. Does the faculty member currently have an externally funded grant (Federal, State, foundation etc.) which will fund their research or any grant that you are aware they are bringing with them?

YES NO

6. Will the scholar be working on materials obtained under a Materials Transfer Agreement (other than the Uniform Biological Materials Transfer Agreement)?

YES NO

7. Will the scholar be working with high-tech or experimental equipment (examples would be high speed computers, sensors, materials, electronics, lasers, telecommunication devices or other cutting edge equipment)?

YES NO

8. Will the scholar be working on projects or research which involves data, knowhow, software or equipment of a technical nature?

YES NO

9. Will the scholar be working on projects or research which involves data, knowhow, software or equipment of a military nature?

YES NO

Signature of Department Chair _____

Print Name _____ Date _____

Please send the completed form to: Attn: Janet Goucher at Campus Box 3040 or via email at jgouche@ilstu.edu. If you have any further questions, please call 438-2528.

TO BE FILLED OUT BY THE EXPORT CONTROL OFFICER

CERTIFICATION

The Office of Research and Sponsored Programs (RSP), which administers the export control compliance program at Illinois State University, has reviewed the attestations above. After review of the information provided by the sponsoring department, and the Export Administration Regulation (EAR) and the International Traffic in Arms Regulations (ITAR), RSP has determined that, as of the date signed:

A license is not required from either U.S. Department of Commerce or the U.S. Department of State to release such technology or technical data to the foreign person; or

A license is required from the U.S. Department of Commerce and/or the U.S. Department of State to release such technology or technical data to the beneficiary and the petitioner will prevent access to the controlled technology or technical data by the beneficiary until and unless the petitioner has received the required license or other authorization to release it to the beneficiary.

Signature of Export Control Officer _____

Print Name _____ Date _____

Attachment 1

EAR and ITAR Control Lists by Major Categories

Commerce control List (CCL)

Export Administration Regulations (EAR)

http://www.gpo.gov/bis/ear/ear_data.html

See Part 774, Supplement 1

- Category 0 Nuclear Materials, Facilities & Equipment (and Miscellaneous Items)
- Category 1 Materials, Chemicals, Microorganisms, and Toxins
- Category 2 Materials Processing
- Category 3 Electronics
- Category 4 Computers
- Category 5 (Part1) – Telecommunications; (Part 2) Information Security
- Category 6 Sensors and Lasers
- Category 7 Navigation and Avionics
- Category 8 Marine
- Category 9 Propulsion Systems, Space Vehicles, and Related Equipment

U.S. Munitions List (USML)

International Traffic in Arms Regulations (ITAR)

<http://www.fas.org/spp/starwars/offdocs/itar/p121.htm>

See Part 121.1

- Category I Firearms, Close Assault Weapons and Combat Shotguns
- Category II Guns and Armament
- Category III Ammunition/Ordnance
- Category IV Launch Vehicles, Guided Missiles, Ballistic Missiles, Rockets, Torpedoes, Bombs and Mines
- Category V Explosives and Energetic Materials, Propellants, Incendiary Agents and Their Constituents
- Category VI Vessels of War and Special naval Equipment
- Category VII Tanks and Military Vehicles
- Category VIII Aircraft and Associated Equipment